

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

§ §

In re Application of: ANDRE SIRILUTPORN CHAN ET AL.

Attorney Docket No. HSJ920030066US3

Serial No.: 10/811,682

Examiner: UNKNOWN

Filed: 29 MARCH 2004

§ -

For: SYSTEM, METHOD, AND

§ Art I

APPARATUS FOR AERODYNAMIC DIVERTER INTEGRATED WITH A DIFFUSER IN A BYPASS CHANNEL

DIFFUSER IN A BYPASS CHANNEL FOR APPLICATIONS IN A DISK

STORAGE DEVICE

Art Unit: 2652

#### REQUEST FOR CORRECTED FILING RECEIPT

O.I.P.E. – Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby request that a Corrected Filing Receipt be issued for the aboveidentified patent application. Please amend the Filing Receipt as follows:

> CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to O.I.P.E. – Filing Receipt Corrections Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2004.

Betty J. Kirk

Type Name of Person Signing

Signature of Person Signing

It is hereby requested that a correction be made under the caption "Foreign Applications" on the face of the Filing Receipt. The Applicant was incorrectly listed as a "SMALL ENTITY" on the Utility Patent Application Transmittal when in fact, the Applicant is a:-- LARGE ENTITY-- . Please remove the designation \*\*SMALL ENTITY\*\* from the face of the Filing Receipt

A photocopy of the Filing Receipt with the requested change noted thereon is enclosed for reference.

No fee is believed to be required at this time; however, in the event any fees are required, please charge Bracewell & Patterson, L.L.P. 's Deposit Account No. 50-0259.

Respectfully submitted,

Michael E. Noe, Jr.

Registration No.: 44,975

BRACEWELL & PATTERSON, L.L.P.

P.O. Box 61389

Houton, Texas 77208-1389

512-472-7800

ATTORNEY FOR APPLICANT(S)



ATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

FILING OR 371 APPL NO. ART UNIT **FIL FEE REC'D** ATTY.DOCKET NO **DRAWINGS TOT CLMS** IND CLMS (c) DATE 10/811.682 03/29/2004 2652 385 HSJ920030066US3 -20~

**CONFIRMATION NO. 2984** 

BRACEWELL & PATTERSON, L.L.P. P.O. BOX 61389 HOUSTON, TX 77208-1389

FILING RECEIPT \*OC000000012951214\*

Date Mailed: 06/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Andre Sirilutporn Chan, Milpitas, CA; / Ferdinand Hendriks, Morgan Hill, CA: Michael Robert Hatchett, San Jose, CA: Jr-Yi Shen, Sunnyvale, CA; ~

Domestic Priority data as claimed by applicant

This application is a CIP of 10/644,172 08/20/2003

Foreign Applications

If Required, Foreign Filing License Granted: 06/07/2004

Projected Publication Date: 02/24/2005

Non-Publication Request: No

Early Publication Request: No

REMOVE

\*\* SMALL ENTITY \*\*

**Title** 

Received Bracewell & Patterson, L.L.P. JUN 1 7 2004 Docket By Action . Due Date.

System, method, and apparatus for aerodynamic diverter integrated with a diffuser in a bypass channel for applications in a disk storage device

#### **Preliminary Class**

260

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES

In support of its 21st Century Strategic Plan goal of increased patent e-Government, beginning in June 2004, the United States Patent and Trademark Office (Office or USPTO) will begin the phasein of its E-Patent Reference program and hence will: (1) provide downloading capability of the U.S. patents and U.S. patent application publications cited in Office actions via the E-Patent Reference feature of the Office's Patent Application Information Retrieval (PAIR) system: and (2) cease mailing paper copies of U.S. patents and U.S. patent application publications with Office actions (in applications and during reexamination proceedings) except for citations made during the international stage of an international application under the Patent Cooperation Treaty (PCT). In order to use the new E-Patent Reference feature applicants must: (1) obtain a digital certificate and software from the Office; (2) obtain a customer number from the Office; and (3) properly associate patent applications with the customer number. Alternatively, copies of all U.S. patents and patent application publications can be accessed without a digital certificate from the USPTO web site, from the USPTO Office of Public Records, and from commercial sources. The Office will continue the practice of supplying paper copies of foreign patent documents and nonpatent literature with Office actions. Paper copies of cited references will continue to be provided by the USPTO for international applications during the international stage.

### **Schedule**

June 2004 July 2004 August 2004 TCs 1600, 1700, 2800 and 2900

TCs 3600 and 3700 TCs 2100 and 2600

All U.S. patents and U.S. patent application publications are available on the USPTO web site. However, a simple system for downloading the cited U.S. patents and patent application publications has been established for applicants, called the E-Patent Reference system. As E-Patent Reference and Private PAIR require participating applicants to have a customer number, retrieval software and a digital certificate, all applicants are strongly encouraged to contact the Patent Electronic Business Center to acquire these items. To be ready to use this system by June 1, 2004, contact the Patent EBC as soon as possible by phone at 866-217-9197 (toll-free), 703-305-3028 or 703-308-6845 or electronically via the Internet at ebc@uspto.gov.

The E-Patent Reference function requires the applicant to use the secure Private PAIR system. which establishes confidential communications with the applicant. Applicants using this facility must receive a digital certificate, as described above. Other options for obtaining patents which do not require the digital certificate include the USPTO's free Patents on the Web program (http://www.uspto.gov/patft/index.html). The USPTO's Office of Public Records also supplies copies of patents for a fee (http://ebizl.uspto.gov/oems25p/index.html). Commercial sources also provide U.S. patents and patent application publications.

For complete instructions see the Official Gazette Notice. USPTO TO PROVIDE ELECTRONIC ACCESS TO CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS AND CEASE SUPPLYING PAPER COPIES, on the USPTO web site.